

6(&7,21 5HJXODWRU\ LQIRUPDWLRQ

6DIHW\ KHDOWK DQG HQYLURQPHQWDO UHJXODWLRQV VSHFLILF
 1DWLRQDO UHJXODWLRQV 8QLWHG 6WDWHV
 7R[LF 6XEVDQFH &RQWURO \$FW 768\$ SHGLHQWV DUH OLVWHG RU
 6XSHUIXQG \$PHQGPHQW DQG 5HDXWKRUL]DWLRQ \$FW 6\$5\$ 7,7/(
 7KH /LVW RI ([WUHPHO\ +D]DUGRXV 6XEVDQFHV DQG 7KHLU 7KUHVKROG
 6HFWLRQ
 QRQH RI WKH LQJUHGHLHQWV DUH OLVWHG
 6SHFLILF 7R[LF &KHPLFDO /LVWLQJV (3&5\$ 6HFWLRQ
 QRQH RI WKH LQJUHGHLHQWV DUH OLVWHG
 &RPSUHKHQVLYH (QYLURQPHQWDO 5HVSQRVH &RPSHQVDWLRQ D
 /LVW RI +D]DUGRXV 6XEVDQFHV DQG 5HSRUWDEOH 4XDQWLWLHV &(5
 QRQH RI WKH LQJUHGHLHQWV DUH OLVWHG
 &OHDQ \$LU \$FW
 QRQH RI WKH LQJUHGHLHQWV DUH OLVWHG
 5LJKW WR .QRZ +D]DUGRXV 6XEVDQFH /LVW
 +D]DUGRXV 6XEVDQFH /LVW 1- 57.

1DPH RI VXEVDQFH 1DPH DFF YHQWRU\	WR &\$6 1R	5HDPDUNV &ODVVIL /LV WLRQV WHG	6XE LVWDQXP H QXP EHU	'27 QXP EHU
FDERQ EODFN FDERQ EODFN		&\$		

/HJHQG
 2FFXSDWLRQDO 6DIHW\ DQG +HDOWK \$GPLQLVWUDWLRQ &)5 2FFXSDWLRQ
 = 7R[LFDQG +D]DUGRXV 6XEVDQFHV -XO\
 (PHUJHQF\ 5HVSQRVH *XLGHERRN 5HVHDFK DQG 6SHFLDO 3URJUDPV \$G
 7UDQVSRUWDWLRQ
 7/9Vp DQG %(.Vp 7KUHVKROG /LPLW 9DOXHV DQG %LRORJLFDO ([SRVXUH
 HUQPHQWDO ,QGXVWULDO +\JLHQLVWV \$&*,+
 2IILFH RI +D]DUGRXV 0DWHULDOV 6DIHW\ 5HVHDFK DQG 6SHFLDO 3URJUDPV
 SRUWDWLRQ &)5 +D]DUGRXV 0DWHULDOV 7DEOH 2FWREHU
 1,26+ 3RFNHW *XLGH WR &KHPLFDO +D]DUGV 1DWLRQDO ,QVWLWXWH IRU 2F
 'HSDUWPHQW RI +HDOWK DQG +XPDQ 6HUylFHV 1R 6HSHWHPEHU